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| APPLICATION NO.  | FILING DATE |            | FIRST NAMED INVENTOR       | ATTORNEY DOCKET NO.         | CONFIRMATION NO. |  |  |
|--|-------------|------------|----------------------------|-----------------------------|------------------|--|--|
| 10/665,232   | (           | 09/17/2003 | Carlos Fernando Bella Cruz | END920000033US2<br>(13467Z) | 6285             |  |  |
| 23389  | 7590        | 10/08/2004 |                            | EXAM                        | EXAMINER         |  |  |
| SCULLY SCOTT MURPHY & PRESSER, PC  MCALLISTER, STEVEN    |             |            |                            |                             |                  |  |  |
| 400 GARDEN CITY PLAZA GARDEN CITY, NY 11530  ART UNIT PA |             |            |                            | ARTUNIT                     | PAPER NUMBER     |  |  |
|  |             |            |                            | 71110111101110011           |                  |  |  |

DATE MAILED: 10/08/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application  | on No.  | Applicant(s)   | 100          |  |  |  |  |
|---|--|---|--|--------------|--|--|--|--|
|   | 10/665,23  | 2   | CRUZ ET AL.  |              |  |  |  |  |
| Office Action Summary   | Examiner   |   | Art Unit   |              |  |  |  |  |
|   | Steven B.  |   | 3627   |              |  |  |  |  |
| The MAILING DATE of this communical Period for Reply  | tion appears on the  | cover sheet with the d  | correspondence ad  | ldress       |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR THE MAILING DATE OF THIS COMMUNICA  - Extensions of time may be available under the provisions of 3 after SIX (6) MONTHS from the mailing date of this communi  - If the period for reply specified above is less than thirty (30) d  - If NO period for reply is specified above, the maximum statute  - Failure to reply within the set or extended period for reply will Any reply received by the Office later than three months after earned patent term adjustment. See 37 CFR 1.704(b). | ATION.  7 CFR 1.136(a). In no ever cation.  ays, a reply within the statuory period will apply and with the spot the apply the apply statute, cause the apply. | ent, however, may a reply be tir<br>story minimum of thirty (30) day<br>Il expire SIX (6) MONTHS from<br>ication to become ABANDONE | nely filed s will be considered timel the mailing date of this considered timel (35 U.S.C. § 133). |              |  |  |  |  |
| Status  |  |   |  |              |  |  |  |  |
| 1) Responsive to communication(s) filed   | on <u>17 September 2</u>   | <u>003</u> .  |  |              |  |  |  |  |
|   | This action is n   |   |  |              |  |  |  |  |
| 3) Since this application is in condition for   | 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |   |  |              |  |  |  |  |
| closed in accordance with the practice  | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |   |  |              |  |  |  |  |
| Disposition of Claims   |  |   |  | ,            |  |  |  |  |
| 4)⊠ Claim(s) <u>1-19</u> is/are pending in the app  | olication.   |   |  |              |  |  |  |  |
| 4a) Of the above claim(s) <u>1-7</u> is/are withdrawn from consideration.   |  |   |  |              |  |  |  |  |
| 5) Claim(s) is/are allowed.   |  |   |  |              |  |  |  |  |
| 6)⊠ Claim(s) <u>8-19</u> is/are rejected.   |  |   |  |              |  |  |  |  |
| 7) Claim(s) is/are objected to.   |  |   |  |              |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or election requirement.   |  |   |  |              |  |  |  |  |
| Application Papers  |  |   |  |              |  |  |  |  |
| 9) ☐ The specification is objected to by the Examiner.  |  |   |  |              |  |  |  |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  |  |   |  |              |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |  |   |  |              |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |  |   |  |              |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |  |   |  |              |  |  |  |  |
| Priority under 35 U.S.C. § 119  |  |   |  |              |  |  |  |  |
| 12)☐ Acknowledgment is made of a claim for  | foreign priority und   | ler 35 U.S.C. § 119(a   | )-(d) or (f).  |              |  |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:  |  |   |  |              |  |  |  |  |
| 1. Certified copies of the priority documents have been received.   |  |   |  |              |  |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No  |  |   |  |              |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).   |  |   |  |              |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.  |  |   |  |              |  |  |  |  |
| and and an  |  | 356.00 1101 1000141   |  |              |  |  |  |  |
|   |  |   |  |              |  |  |  |  |
| Attachment(s)   |  |   |  |              |  |  |  |  |
| 1) Notice of References Cited (PTO-892)   | - 40   | 4) Interview Summary  |  |              |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date  Notice of Informal Patent Application (PTO-152)   |  |   |  |              |  |  |  |  |
| Paper No(s)/Mail Date <u>9/17/2003</u> .  | ,  | 6) Other:   |  |              |  |  |  |  |
| U.S. Patent and Trademark Office<br>PTOL-326 (Rev. 1-04)  | Office Action Summar   | y Pa  | rt of Paper No./Mail Da  | ate 20041001 |  |  |  |  |

## **DETAILED ACTION**

#### Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

Claims 1-7, drawn to a method reallocating inventory, classified in class
 705, subclass 8.

II. Claims 8-19, drawn to a method of allocating inventory, classified in class705, subclass 8.

Applicant's election without traverse of Group II, claims 8-19 in the telephonic interview of 9/15/2004 is acknowledged.

Claims 1-7 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim.

#### Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 8-10 and 17 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Claims 8-10, and 17 lack a nexus with the technological arts. The business method lacks a nexus with such technology (e.g., lacks a recitation of the steps being performed by a computer).

Claims 8 and 17 also are not concrete because they do not have an assured outcome. The claim recites assigning and unassigning orders until either all order are fulfilled or the are no more assignment options to test. As claimed, one practicing the method could begin with a different set of units and orders and proceed in a different procession of matches. Therefore, the first assignment that fulfills all orders could change each time the method is practiced with the same data. It is noted that the additional limitation of claim 9 appears to remedy the lack of concreteness since it sets a starting point and path (searching from the earliest due date).

### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 16-19 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

As understood by the examiner, the specification discloses that the step of identifying the largest area of each of the units that can be assigned to an order is part of the step of iteratively assigning and unassigning, and not a separate further step, as claimed.

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### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 8, 10, 11, 13, 14, and 16-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over "Production Planning and Scheduling" (ACESITA).

ACESITA shows identifying finished units and orders for those units; identifying valid finished units that are available to be assigned to the orders; and units to orders (see e.g., pgs. 22, 42). ACESITA does not explicitly show iteratively assigning and unassigning until all order are fulfilled or no options are left. However, it is notoriously old and well known in the art to do so. It would have been obvious to one of ordinary skill in the arts to modify the method of ACESITA by iteratively assigning units to orders in order to ensure that all possible options are tested so that the most efficient option can be used.

As to claims 10, 13, and 16, it is noted that in the iterative assigning and unassigning as contemplated in the rejection of claims 8, 11, and 14, the step of searching among previously assigned orders for material is accomplished since material which was assigned to an order in a previous iteration is unassigned to attempt to fulfill the incomplete orders.

As to claims 17-19, ACESITA shows that the finished units are metallic units and applying the units to the orders according to business rules. It does explicitly state that one of the business rules is to identify the largest portion of metallic units that can be assigned to an order. However, it is notoriously old and well known in the art to do so. It would have been obvious to one of ordinary skill in the art to further modify the method of ACESITA by identifying the largest area of the metallic units that can be assigned to the orders in order to reduce waste.

Claims 9, 12, and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over ACESITA as applied to claims 8, 11, and 14 above, and further in view of Dye (4,459,663).

ACESITA shows all elements of the claims except identifying incomplete orders and due date and assigning units to the earliest orders. Dye shows these elements. It would have been obvious to one of ordinary skill in the art to further modify the method of ACESITA by identifying the date of orders and assigning items to the earliest orders in order to avoid missing a delivery date.

# Response to Arguments

Applicant's arguments filed 9/27/2003 have been fully considered but they are not persuasive.

Regarding the 101 rejection, the USPTO Board of Appeals, in an unpublished opinion has upheld a "technological nexus" requirement. Another case regarding this issue is presently before the Board at this time and it will issue a published and precedential opinion on the issue. The examiner will be happy to provide a copy when it becomes available, or to withdraw the rejection if the Board reverses its earlier unpublished opinion.

Regarding the matter of concreteness, a clarified rejection has been provided.

Additionally, upon further review the rejection has been withdrawn as to some of the claims which are now found to be concrete for reasons discussed in the rejection.

As to the prior art rejections, Applicant's arguments with respect to the claims have been considered but are most in view of the new ground(s) of rejection.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Steven B. McAllister whose telephone number is (703) 308-7052. The examiner can normally be reached on M-Th 8-6:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert P. Olszewski can be reached on (703) 308-5183. The fax phone

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number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

SEB. M. ally. Steven B. McAllister

STEVE B. MCALLISTER PRIMARY EXAMINER